

Solar-powered drip irrigation enhances food security in the Sudano–Sahel

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Meeting the food needs of Africa's growing population over the next half-century will require technologies that significantly improve rural livelihoods at minimal environmental cost. These technologies will likely be distinct from those of the Green Revolution, which had relatively little impact in sub-Saharan Africa; consequently, few such interventions have been rigorously evaluated. This paper analyzes solar-powered drip irrigation as a strategy for enhancing food security in the rural Sudano–Sahel region of West Africa. Using a matched-pair comparison of villages in northern Benin (two treatment villages, two comparison villages), and household survey and field-level data through the first year of harvest in those villages, we find that solar-powered drip irrigation significantly augments both household income and nutritional intake, particularly during the dry season, and is cost effective compared to alternative technologies.

photovoltaic | poverty | agriculture | water use | Africa

Significant fractions of sub-Saharan Africa are considered food insecure, as measured by total per capita caloric availability at the national level, consumption at the household level, and/or various individual nutritional status indicators (1, 2). Across the region, these food-insecure populations are predominantly rural, and they frequently survive on < 1 per person per day. Although most are engaged in agricultural production as their main livelihood, they nevertheless spend 50–80% of their income on food, and are often net consumers of food, particularly nonstaples (3).

Most rural, food-insecure communities in sub-Saharan Africa rely on rain-fed agriculture for production of staple crops, which is limited to a 3–6 month rainy season in the Sudano–Sahel [only 4% of cropland in sub-Saharan Africa is irrigated (4)]. On top of potential annual caloric shortages, households face two seasonal challenges: They must stretch their stores of staples to the next harvest (or purchase additional food, often at higher prices), and access to micronutrients via home production or purchase diminishes or disappears during the dry season. Typical smallholder staple production systems are often both risky and relatively low-return, as the low commercial value of staple crops is exacerbated by poor yields and erratic rainfall—two problems that are expected to worsen in the next few decades under climate change (5, 6). Promotion of irrigation—and particularly smallholder irrigation—is therefore frequently cited as a strategy for poverty reduction, climate adaptation, and promotion of food security (7, 8).

The role of irrigation in poverty reduction has been studied extensively in Asia [e.g., (9)], but relatively little has been written about the poverty and food security impacts of smallholder irrigation in the Sudano–Sahel. Access to irrigation water via engine pump increased both household savings and informal social insurance in the form of transfers in northern Mali (10); year-round vegetable production facilitated by canal irrigation in northern Senegal increased intake of vitamins A and C and decreased the incidence of emaciation among adults and older children (11).

Currently, drip (or micro) irrigation is the most rapidly expanding type of irrigation in sub-Saharan Africa (12). Drip irrigation

delivers water (and fertilizer) directly to the roots of plants, thereby improving soil moisture conditions; in some studies, this has resulted in yield gains of up to 100%, water savings of up to 40–80%, and associated fertilizer, pesticide, and labor savings over conventional irrigation systems (13–15). Through private purchase, government programs, and non-governmental organization (NGO) projects, more and more smallholder producers are gaining access to low-pressure drip irrigation kits that require only 1 m of pressure to irrigate plots of up to 1,000 m². Nevertheless, the impact of this technology has been limited in sub-Saharan Africa by reliable access to water, as well as lack of agronomic and marketing support (16–18).

Photovoltaic- (or solar-) powered drip irrigation (PVDI) systems combine the efficiency of drip irrigation with the reliability of a solar-powered water pump. As with any water pump, solar-powered pumps save labor in rural off-grid areas where water hauling is traditionally done by hand by women and young girls (19). They can be implemented in an easily maintained, directly coupled (battery-free) configuration, thereby avoiding one of the major pitfalls of photovoltaic (PV) use in the developing world (20). Though PV systems are often dismissed out of hand due to high up-front costs, they have long lifetimes, and in the medium-term, cost less than liquid-fuel-based pumping systems, particularly in areas where stable access to fuel is limited (21, 22).

As shown in Fig. 1A, in a PVDI system, a PV array powers a pump (either surface or submersible, depending on the water source) that feeds water to a reservoir. The reservoir then gravity-distributes the water to a low-pressure drip irrigation system. No batteries are used in the system: The pump only runs during the daytime, and energy storage is in the height of the column of water in the reservoir. Sizing of pumps, reservoirs, and fields is done on the basis of water availability and local evapotranspiration needs. The system passively self-regulates: Because solar radiation is the main driver of both pump speed and evapotranspiration, the volume of water pumped increases on clear hot days when plants need more water, and vice versa. This is illustrated and described further in Fig. 1B.

To test the efficacy and impact of this concept, we monitored the installation and use of three 0.5 ha PVDI systems in the Kalalé district of Northern Benin (Fig. S1) beginning in November 2007. The PVDI systems were conceived, financed, and installed by an NGO, the Solar Electric Light Fund (SELF: <http://www.self.org>), to boost vegetable production from

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*Installation includes training of local maintenance staff and support through the first several years of operation. More information about project context and implementation can be found in *SI Text*.

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Results

Food Security. Food security is typically subdivided into three components: (i) availability, or the existence of an adequate and stable supply of food; (ii) access, or the ability to obtain (physically or economically) appropriate and nutritious food; and (iii) utilization, or the ability to consume and benefit from nutritious foods (27). This definition provides an appropriate framework for evaluation of project impact.

Food Availability. The addition of 1.5 ha of irrigated land dedicated to vegetable production significantly altered local vegetable availability. Based on data from the women monitored in each agricultural group, each of the three PVDI systems supplied, on average, 1.9 tonnes of produce per month (including tomato, okra, pepper, hot pepper, eggplant, carrot, amaranth, moringa, and other greens). Household survey data reveals that during the first year of garden operation, use of the PVDI systems did not displace other agricultural production, as families with women in the women's groups continued to farm their other land as they had before, with corn, sorghum, yam, and cassava as the main food crops and some cash cropping of cotton and cashew.

During the first year of operation, the women farmers kept an average of 18% by weight (8.8 kg/month) of the produce grown with the PVDI systems for home consumption and sold the rest in local markets. The vegetables kept by the women's agricultural group families generally augmented total produce consumption, as opposed to simply displacing purchases (purchases did not decrease significantly as overall consumption rose). Garden products penetrated local markets significantly: Vegetable consumption increased during the rainy season (the time of greatest surplus for the women's group farmers) for the entire 4-village sample of households. This is discussed in greater detail below.

Food Access. Food access, both via home production and purchase, increased dramatically for the families of women's group farmers using the solar-powered drip irrigation technology. The coefficients of change for a variety of food access indicators (Y) were derived from baseline and follow-up household survey data using the fixed-effects model

$$Y \sim t + vt + wt + vwt$$

where t is a dummy variable indicating the time step (baseline survey or follow-up survey), v is a dummy variable indicating whether or not a particular household was in one of the treatment villages, and w is a dummy variable indicating whether or not a household had a member in one of the women's farming groups.

Fig. 2 provides the robust fixed-effects regression coefficients in the above model for a variety of food security indicators. Most notably, project households saw their total per capita daily consumption expenditure (CE) increase in comparison with other households (Fig. 2, *Upper Line, Red Points*), with the main component of this change being increased food CE (Fig. 2, *Second Line, Blue Points*)[†]. This increase in total CE represents a gain of >80% compared to the preimplementation village average baseline (\$0.69 increase over \$0.85). The food share of total CE increased significantly both across the sample as a whole and for project beneficiaries in comparison to the whole (Fig. 2, *Third Line, Blue Points*)—a result of higher cereal and pulse prices—though total CE increased only for project beneficiaries. The nonfood component of CE decreased significantly for the whole sample; in contrast, for project beneficiaries there was no significant change in nonfood CE.

[†]We use CE as a measure of welfare to account for household consumption of own agricultural production and the erratic nature of agricultural income.

As noted in Table S1, most households surveyed fell below the “dollar-a-day” CE poverty line of \$1.25 [2005 purchasing power parity (PPP)] in 2007, with households slightly worse off in the treatment villages, and some variation across women's agricultural groups. Although reported incomes from a variety of sources increased across the entire sample in 2008, the percentage of nonproject households under the poverty line actually rose from 73% to 89% ($p = 0.001$), while the percentage of project households under the poverty line remained constant at 85%.

Looking more closely at changes in consumption patterns across commodity groups (Fig. 2) confirms that, as expected, consumption of vegetables for the women's group households increased significantly over the year compared to the rest of the sample. Breaking this down by season reveals that this trend was driven almost entirely by increased consumption during the dry season. As mentioned above, vegetable consumption increased across the entire sample during the rainy season.

The women's agricultural group members utilizing the PVDI systems became strong net producers in vegetables with extra income earned from sales, significantly increasing their purchases of staples, pulses, and protein during the dry season, and oil during the rainy season (Fig. 2). Finally, survey respondents were asked how frequently they were unable to meet their household food needs. Based on the frequency and most recent incident, households were assigned a food insecurity score ranging from zero (no problems during the previous year) to one (perpetually unable to meet food needs). This score changed significantly for project beneficiaries (Fig. 2, *Bottom Row*), as they were 17% less likely to feel chronically food-insecure. In short, the PVDI systems had a remarkable effect on both year-round and seasonal food access.

Food Utilization. In terms of food utilization, during the first year of the solar-powered drip irrigation project, vegetable intake across all villages increased during the rainy season by an amount equivalent to about 150 g per person per day (raw weight), or approximately one serving per day. For project beneficiaries, this amount was 500–750 g per person per day (raw weight), equivalent to 3–5 servings of vegetables per day (the USDA Recommended Daily Allowance for vegetables), and most of this change took place in the dry season. While it is not possible to directly quantify the health and nutrition status impacts of the PVDI systems, as no anthropometric measurements or biochemical tests were done as part of project impact assessment, previous studies indicate that changes in nutritional intake from vegetable gardens in the developing world can have significant impact on height-for-weight ratios and a variety of biochemical indicators due to their protein, vitamin, and mineral contributions to the diet (28). Over time, such projects may have larger impact, given that the World Bank estimates that 20–25% of the global disease burden for children is due to undernutrition (29).

The effect of additional produce availability in local markets did not result in significant changes in vegetable purchases for nonproject beneficiaries in treatment villages relative to control villages. This may be due to the fact that village markets are not isolated, and individuals routinely travel to other villages to make purchases. Other pathways of project health impact include increased ability to pay for health services and decreased disease burden due to improved nutritional status; however, families reported no significant increases in spending on health care, nor any significant reduction in self-reported incidence of malaria or diarrheal diseases.

Sustainability. In addition to measuring food security impacts, data from the first year of system operation may also be used to calculate initial estimates of project economic and environmental sustainability. Technical and social sustainability are addressed in *SI Text*.

were assumed to be representative within an agricultural group, and used for the economic analysis of the PVDI systems.

Construction of Consumption Aggregates and Food Security Indicators. We constructed the CE aggregate from the household survey data according to the methodology described in Deaton and Zaidi (26). We converted household values to per capita daily values by dividing by household size. Finally, to present CE values in dollar amounts at PPP, we used 2005 values from the World Bank International Comparison Project (37), and adjusted prices and poverty lines for inflation using 2007 and 2008 Consumer Price Index data from the International Monetary Fund's World Economic Outlook database (38).

Economic Analysis and Technology Comparison. As shown in Table S2, a 0.5 ha solar-powered drip irrigation system (surface pump) costs approximately \$18,000 to install, or \$475 per 120 m² plot, and requires annual expenses of \$5,750 (\$143.75 per plot) in inputs, labor, and support of technicians and extension services provided by regional agricultural organizations. The system uses high-quality, long-lifetime pressure-regulated drip irrigation lines as opposed to cheaper, shorter-lifetime alternatives. Using modest estimates for total revenues of \$10,000 in the first year and \$16,000 per year thereafter (derived from the sales data for the three women monitored from each agricultural group), such a system has a payback time of approximately 2.3 yr. We also consider two additional PVDI scenarios: (i) one in which the array and installation cost \$4,500, which would be reasonable for installation of 6–10 systems, whereby fixed costs could be spread over a greater number of systems; and (ii) one in which the array and installation cost \$3000, which would be reasonable for a future large-scale installation with a drop in PV array prices.

For the liquid-fuel pump comparison, we assume a small engine-driven pump set replaces the photovoltaic array and pump in the PVDI system. A wide variety of such gasoline, diesel, and kerosene pumps exists, with varying

lifetimes and fuel efficiencies. We compare to the most inexpensive option: A relatively small (0.75–1.5 kW) system with a start-up cost of \$1000 (for pump and pipes that will last 5 yr) and \$100 per year for maintenance. Apart from the pump, the system remains the same: We assume that forty 120 m² plots are connected to the same large reservoir and high-quality irrigation lines, and that the same amount of water is pumped over the course of the year (average of 25 m³ per day). We use an average value of 0.15 L of fuel per cubic meter of water pumped, and investigate a range of fuel prices, from \$0.50 to \$1.50 per liter (\$1/L was the approximate average price in the district during 2008). We assume that fuel is readily available.

The net present value (NPV) and internal rate of return as shown in Fig. 3 are calculated over a 15 yr time span (the assumed lifetime of the solar panels). While the lifetime of solar panels in the developed world may be higher (approximately 25 yr), many technologies in the developing world suffer from unexpectedly short lifetimes; we therefore use a conservative estimate of 15 yr in our analysis.

To calculate the carbon emissions avoided by using a PVDI system in lieu of a liquid-fuel pump, we use 2006 Intergovernmental Panel on Climate Change National Greenhouse Gas Inventories Programme guidelines (39). We assume that gasoline has an energy content of 44.3 TJ/Gg, a carbon content of 18.9 kg/GJ, specific density of 0.75 kg/L.

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Supporting Information

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SI Text

Context. In 2007, Benin ranked 161st out of 182 countries in Human Development Index (HDI) (1); fortunately, unlike the majority of the poorest countries in the sub-Saharan Africa and the world, Benin has been peaceful for decades. Like other coastal countries of West Africa, Benin spans a diversity of agro-ecological zones, from the humid coastal south to the Sudano-Sahel in the north. Several important indicators of development also vary from south to north within the country: The coastal region features stronger infrastructure, higher incomes and living standards, and lower levels of malnutrition, infant mortality rates, and anemia (2).

In the northern region (Kalalé District, Borgou Region) studied in this paper, approximately 105,000 inhabitants in 44 villages have access to minimal local infrastructure: Kalalé lies 100 km from a paved road, has no secondary school, and no electricity grid (although the main village does have a diesel generator). In the Borgou region, 46.8% of children under five yr of age suffer from stunted growth (-2 SD in height/age) (2). Some services have recently been extended to Kalalé: Cellphone coverage began to reach certain areas in October 2007 and has expanded since, and construction on a hospital has recently begun.

In the district, 85–90% of households depend entirely on agriculture for their livelihoods, including production of staple crops, livestock, and some cash cropping of cotton and, more recently, cashews. The median household (seven individuals) typically owns (or has been allocated through traditional systems) several 0.5–1 ha plots of land, one of which is used for a root crop, like cassava or yam, and one of which is used for a cereal crop, like sorghum, maize, or millet. Additional plots are often fallowing after a yam harvest or used for small-scale production of cotton or cashews. Households have access to fruits and vegetables largely through village mango trees and the cultivation of okra, hot peppers, tomatoes, and several varieties of greens during the rainy season; access to these sources of micronutrients becomes very limited during the dry season, and prices rise significantly.

Project Background. The solar-powered drip irrigation project in northern Benin commenced when members of l'Association de Développement Économique, Sociale, et Culturel de Kalalé (ADESCKA), a local community development organization, approached the Solar Electric Light Fund (SELF), a non-governmental organization (NGO) based in Washington, D.C., about bringing solar power to Kalalé. Given the high agricultural dependence and malnutrition levels, the organizations decided together to pursue solar-powered drip irrigation, and enlisted the International Crops Research Institute for the Semi-Arid Tropics (ICRISAT) in Niamey, to provide expertise in irrigated horticulture. The plan for the project pilot was chosen for funding in the World Bank Development Marketplace competition in 2006, and system installation and training of local technicians took place in 2007 in time for the dry season beginning in November. The pilot PVDI systems were meant to be part of a 2 yr evaluation period, after which the technology and management package could be refined and the project expanded with different financing options if deemed effective, appropriate, and sustainable.

Pilot Village Choice and Women's Agricultural Groups. Almost all of the villages in Kalalé have women's agricultural groups; these groups engage in activities from vegetable production to collective harvesting of members' fields to value-added activities,

depending on the group and village. The pilot (and control) villages were chosen from a large subset of villages in which the women's agricultural groups were engaged in vegetable production to leverage their existing group infrastructure. To test the PVDI concept with both surface and groundwater sources, one village with each type of source was chosen. The pilot PVDI systems were donated to the women's groups; however, they contributed all labor and, through the revenues of the common plots in the gardens and their own dues systems, now pay for input and repairs.

Implementation and Technical Sustainability. To promote technical sustainability, the local community development organization hired a project team (director, solar technician, and agricultural technician) to oversee installation and maintenance, to facilitate operations, to provide continued training for farmers, and to lay the foundations for project expansion. The impact of having highly educated local staff members eager to work long term on a project in their home district cannot be underestimated. At each step of installation, additional technicians were trained: Local masons learned to construct and repair the large concrete reservoirs, pump mechanics and electricians learned to install and monitor solar-powered pumps, and the farmers learned to use and care for the pumps, drip irrigation lines, and filters. As part of the project pilot, the farmers using the PVDI systems benefited from several visits from ICRISAT technicians, who led trainings on irrigated vegetable production, seed multiplication, pest management, and crop selection and marketing.

Additionally, the long-term commitment made by project implementers has served an important role in technical sustainability. Whereas this commitment is relatively low-level, in that all daily operations and maintenance are managed locally, SELF and ICRISAT have continued to consult with the local development organization and project team. This has helped connect the project team with suppliers and facilitate inputs purchases, as well as to help gather information about prices in local and regional markets that the team and farmers can use to generate a crop calendar for maximum profit.

Social Impacts and Social Sustainability. As noted above, many women's agricultural groups in Kalalé were engaged in small-scale vegetable production before project implementation; as such, this PVDI project fit within social and cultural norms. Nevertheless, project implementers worked closely with village elders through the design and installation process, modifying the systems to accommodate local traditions and beliefs, including building a metal-free intake system for the surface water PVDI systems: Culturally sacred crocodiles live near the stream, and the villagers pay homage to their habitat by not placing metallic objects in the water.

It is unclear as yet how this new source of revenue will affect local gender roles. For most of the women farmers, the income from the PVDI systems is the first they have earned. Many were initially nervous to report their yields and sales to project staff, worrying that their money would be stolen if the information became public. These fears dissipated after several months, facilitated by the consistent support of the local staff and ICRISAT technicians, who encouraged the women's groups to formalize their land holdings through the Mayor's office, to open accounts at the local agricultural bank, to concretize their group structures, and to register as independent NGOs in Benin.

While there is not yet statistically significant evidence of increased school enrollment for PVDI users' children, there is reason to think enrollment rates may rise in the near future: During the baseline survey, only 4% of farmers reported that they planned to use their earnings in the coming year to pay school fees for their children; after one year this rose to

22%. Furthermore, there is no evidence that children are being kept out of school to work in the gardens: Farmers unanimously report spending less time working on their plots in the PVDI gardens than on their previous hand-watered plots, and only 24% report that anyone in their family ever helps them with their work.

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Fig. S1. Maps of Africa and Benin, showing location of Kalalé District.

Table S1. Baseline (2007) data from preimplementation survey for treatment and matched-pair control villages

	Treatment village A	Treatment village B	Control village A	Control village B
Population (2002 National Census)	3169	5521	4539	3398
Water extraction system	Surface PVDI	Groundwater PVDI	Manual	Manual
Road type	Main dirt	Small dirt	Main dirt	Small dirt
Village administrative status	NA	Subprefecture	NA	Subprefecture
Median daily per capita consumption expenditure				
Whole sample	\$0.83	\$0.74	\$0.92	\$0.97
Women's groups	\$0.69 ^{^^}	\$0.74	\$1.16	\$1.29 ^{^^}
% of households under the "dollar-a-day" poverty line, \$1.25 2005 PPP				
Whole sample	81%	80%	75%	70%
Women's groups	97% ^{^^^}	68%	53% ^{^^}	59%
Median food % of total consumption expenditure (whole sample)				
Whole sample	62%	61%	59%	62%
Women's groups	66% ^{^^}	63%	53%	72%
Median household produce consumption, kg/month				
Whole sample	8.0	14.0 [*]	11.8	9.0 [*]
Women's groups	6.9	16.1	4.8 ^{^^}	11.3
Number of village (non-women's group) households in panel	23	25	29	26
Number of women's group households in panel	30	19	15	17

All monetary amounts are given in USD at purchasing power parity (PPP). Asterisks (*) denote a difference between treatment and control villages (both members of the comparison pair are marked); carets (^) denote a difference between the women's group subsample and the entire village sample within a village. [* , ^ p<0.1 ** , ^^ p<0.05 *** , ^^ p<0.01]

Table S2. Parameters for economic analysis of 0.5 ha (surface) photovoltaic and liquid-fuel engine-driven drip irrigation systems. All monetary amounts are given in \$USD at purchasing power parity (PPP).

Photovoltaic drip irrigation system (PVDI)			
	Frequency (yr)	Total (USD)	Per Person (USD)
Expenses			
Equipment and installation			
—Panels and installation (3 price models)	25	9,000/6,000/3,000	225/150/75
—PV-compatible pump	10	1,500	38
—Reservoir	10	3,500	88
—Drip irrigation lines and pipes	5	4,000	100
Operational costs			
—Farming inputs	1	3,800	95
—Extension services and support staff	1	1,950	49
Revenues			
—Vegetables, first year	1	10,000	250
—Vegetables, all other years	1	16,000	400
Liquid-fuel pump drip irrigation system			
	Frequency (yr)	Total (\$USD)	Per person (\$USD)
Expenses			
Equipment and installation			
—Pump, pipes, and maintenance	5	1,500	38
—Reservoir	10	3,500	88
—Drip irrigation lines and pipes	5	4,000	100
Operational costs			
—Farming inputs	1	3,800	95
—Extension services and support staff	1	1,950	49
—Fuel (3.75 L/day at \$0.50/\$1.00/\$1.50 L)	1	684/1,369/2,053	17/34/51
Revenues			
—Vegetables, first year	1	10,000	250
—Vegetables, all other years	1	16,000	400

Revenues are derived from garden-level yield and sales data over the first 1.5 yr of PVDI system use. Per person costs assume 40 farmers with 120 m² individual plots in each garden.